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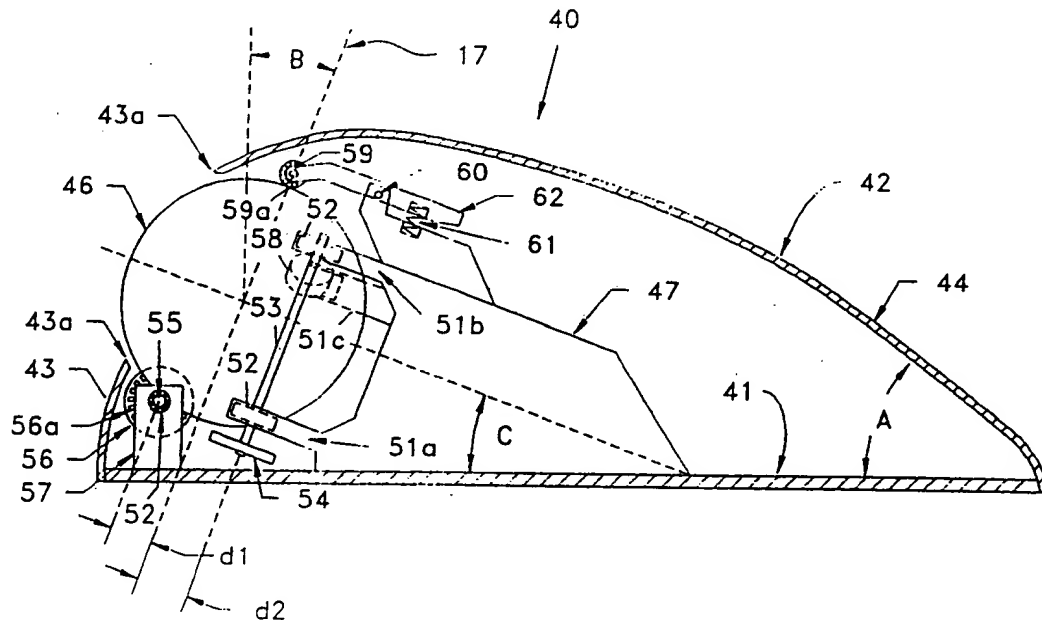
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(54) Title: ERGONOMIC MULTI-AXIS CONTROLLER



(57) Abstract

A manually operated ergonomic multi-axis controller (40) such as those used for controlling cursor position along x and y axes and for entering x, y and/or z coordinate information into a computer or the like. The housing includes a distal end portion (43) angled with respect to the upper surface (42) and the base (41) of the housing to conform to the natural curvature of the human hand. The primary actuator, such as a trackball (46) or joystick (86) is positioned at the distal end portion (43). Secondary actuators (65, 66) are located along the sides of the housing.

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ERGONOMIC MULTI-AXIS CONTROLLERBACKGROUND OF THE INVENTION1. Field of the Invention

5 This invention relates to a manually operated multi-axis controller such as those used for controlling position along x and y axes, and more particularly it relates to an ergonomic multi-axis controller for entering x, y, and/or z coordinate information into a computer or the
10 like.

2. Background of the Art

 Multi-axis controllers are known in the art. One type of controller employs a freely rotatable control sphere
15 or ball as the primary actuator for generating x, y coordinate information. A familiar type of ball controller is the computer "mouse". This device is a manually operated controller which is intended to be moved along a flat
20 surface, such as a table or desk top. The control ball is located at the bottom of the device and contacts the flat surface. When the mouse is moved along the surface, the control ball rotates, and encoders within the mouse detect motion of the ball around x and y axes. A signal is thereby generated and transmitted to the computer, usually for
25 directing the position of a cursor on a monitor screen. For example, mice, as well as the other types of controllers described below, are often used in conjunction with computer graphics software, desk top publishing, and software which generates multiple data screens or "windows". Like other
30 types of controllers, mice usually have secondary actuators which allow the operator to perform one or more additional

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1 functions after the cursor has been moved to the desired
location on the screen. Secondary actuators are usually of
the push button type.

5 Another controller using a spherical primary
actuator is the "trackball" type device. As with the mouse,
x, y coordinate control is achieved by the rotational
movement of a freely rotatable ball. A trackball may be
thought of as an inverted mouse. Unlike the mouse, however,
10 the trackball's primary actuator is on the top of the device
and is rotated directly by the hand of the operator. The
device is intended to be stationary. This device is
advantageously used when desk space is limited. The control
ball rests on a suitable suspension, and dependent or
15 independent x and y coordinate pickups (or "pick-ups") are
contacted to the ball. A pickup includes a shaft which
rotates in accordance with the respective rotation of the
ball, and an encoder for generating a signal from the
rotation of the pickup shaft.

20 Yet another type of controller uses, as a primary
actuator, a control stick or "joystick". The joystick is
pivoted in x and/or y coordinate directions, and encoders in
the device generate signals accordingly.

The reader is directed to the following references
25 for more information regarding the art:

U.S. Patent No. 3,269,190 discloses a position
control ball assembly, i.e. a trackball type device, which
provides a mounting ring for supporting the control ball.
The mounting ring is fabricated from a low friction material
30 such as polytetrafluoroethylene, or "TEFLON".

1 U.S. Patent No. 3,395,589 discloses an apparatus
for converting the universal motion of a sphere into
respective movements of output members located in mutually
perpendicular planes.

5 U.S. Patent No. 4,404,865 discloses a trackball
device containing rotatable shaft supports for the control
ball, a portion of the control ball projecting through an
opening in the housing for manual rotation by a user. Bias
springs urge the shaft bearings, and therefore the shafts
10 and ball, toward the opening in the housing.

U.S. Patent No. 4,538,476 discloses a trackball
type controller having orthogonally spaced rotatable traction
wheels for supporting the control ball at two points
substantially at the horizontal equator of the ball, and a
15 rolling bearing positioned generally equidistantly between
the traction wheels to frictionally support the ball at a
point substantially below the horizontal equator of the
ball.

20 U.S. Patent No. 4,464,652 discloses a "mouse" type
cursor control device. The control ball is in contact with
rotatable shafts, each shaft being coupled to an encoder
disk having radial slots for interrupting a light beam
transmitted between a photoemitter and a photodetector.

25 U.S. Patent No. 4,628,755 discloses a mouse
having, instead of a control ball, a rotatable drive element
for contacting the planar surface over which it is moved.
The drive element located on a shaft which is rotatable
around an axis oriented at less than 90° to the planar
30 surface.

1 U.S. Patent No. 4,862,165 discloses an
ergonomically shaped mouse. The upper surface of the
housing is configured to provide support for the surfaces of
5 the hand.

U.S. Patent Nos. 3,760,320, 2,929,258 and
2,939,332 disclose joystick type mechanisms.

U.S. Patent No. 4,250,378 describes a
photoelectric joystick including means for detecting the
10 location of a shadow cast by a portion of the joystick.

One of the problems associated with the prior
known devices is the lack of suitable support for the
operator's hand. Mice require the movement of the
operator's arm, and trackballs do not present the primary
15 and/or secondary actuators to fit the natural orientation
and configuration of the human hand. After an extended
period of time, operators become fatigued by continuous use
of such devices.

A problem associated with the prior known
trackball devices is that they require actuation from the
20 top of the ball. This is due to the fact that the
suspension, which supports the ball from somewhat below the
horizontal equator, lies in a plane which is substantially
perpendicular to the direction of the pull of the gravity
field. This configuration limits the trackball's
25 applications to those environments where the trackball
controller is substantially horizontal with respect to a
gravity field. Under these circumstances an operator must
adapt himself to the operation of the device expending
additional energy to support or position the hand or even
30 the entire arm to properly interact with the device's

1 actuators. To alleviate these problems I have invented a
multi-axis controller which supports and fits the natural
orientation of the human hand and which can accommodate a
5 variety of actuators. Further, I present two basic
variations of actuation. The first, utilizing actuation of
a sphere, demonstrates a suspension of the sphere to
accommodate the basic invention and with additional
modification offers a unique and more generally applicable
10 capability of operating in any arbitrary position with
respect to gravity or the absence thereof. The second,
which is roughly equivalent to a joystick, works in planar
orientation and offers the ability to return to a rest
position.

15 SUMMARY OF THE INVENTION

An ergonomic manually operable multi-axis
controller is provided which includes a housing having a
surface for contacting and providing support for the palm of
20 the user's hand, and at least one primary actuator located
at the distal end of the housing and positioned such that
the primary actuator presents a surface for actuation by any
of the fingers of the operator's hand exclusive of the
thumb.

25 In a desk top type embodiment of the invention,
the multi axis controller includes a housing having a base,
and an upper portion having a forward access face. The
primary actuator for generating spatial coordinate
information has a presentation angle for operator access of
30 from about 0° to 70°. Means are included for retaining the
primary actuator at the forward access face at the

1 presentation angle. The controller also includes pickup
means for generating and transmitting a signal in response
to actuation of the primary actuator.

5 The primary actuator can be a freely rotatable
control sphere or a joystick type controller which is
movable. The spherical primary actuator is supported by
rotatable contact means at first, second, third and
10 optionally fourth contact points on the surface of said
spherical primary actuator. The contact points are spaced
apart in relative relationship so as to generally define a
tetrahedron, each side of which is smaller in length than
the diameter of the spherical primary actuator.

15 BRIEF DESCRIPTION OF THE DRAWINGS

Preferred embodiments of the invention are
described hereinbelow with reference to the drawings
wherein:

Fig. 1 is a perspective view diagrammatically
20 illustrating the spatial orientation of the control sphere
with respect to contact points;

Figs. 1a and 1b are, respectively, side and front
elevational views diagrammatically illustrating the control
sphere and the orientation of its support means.

25 Fig. 1c illustrates a front elevational view
diagrammatically illustrating a three point suspension
system.

Fig. 2 is an illustration of an embodiment of the
present invention for use in vertical control panels;

30 Figs. 3 and 4 respectively are a cut away side
elevational view, a cutaway front elevational view, and a

1 cutaway plan view of a desk top embodiment of the present
invention employing a trackball;

5 Figs. 3a and 4a illustrate a desk top embodiment
with a three point suspension system.

Fig. 5 illustrates use of the desk top trackball
embodiment;

10 Figs. 6 and 7 illustrate respectively a side
elevational cutaway view, and a front elevational cutaway
view of a joystick controlled embodiment of the present
invention.

15 Figs. 8 and 8a illustrate perspective and side
elevational views, respectively, of an embodiment of the
present invention employing a touch sensitive control pad as
the primary actuator.

DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

20 The present invention relates to an ergonomic
multi-axis controller. The desk top type embodiment of the
present invention may be actuated by various types of
primary actuators, such as control spheres, joysticks,
control panels having touch sensitive surfaces, etc. With
respect to the control sphere, a unique three-point
suspension system is presented herein which improves contact
25 between the pickup shafts and the control sphere, and with
the addition of a fourth contact point enables the multi-
axis controller to be operated in any orientation with
respect to gravity or in a zero gravity environment.
Moreover, the control sphere suspension system allows the
30 control sphere to be employed in, for example, vertical
panel systems rather than horizontal panels.

1 One embodiment of the present invention relates to
a device for converting the universal movement of a sphere
into respective movements of output members located in
5 mutually perpendicular planes.

 A control ball or sphere functions as the primary
actuator. A primary actuator is the means by which the user
of the multi axis controller inputs information as to x
and/or y coordinate data. Typically, the user, or operator
10 moves the primary actuator (by rotating, pressing, etc.) and
the direction and/or speed of movement is sensed by the
controller which converts the movement to signals
(electrical, optical, etc.) for transmission to, for
example, a computer. Secondary actuators are means by which
15 the operator performs one or more functions at an x, y
coordinate location. For example, with a computer program
which generates various icons on a monitor screen, the
primary actuator allows the operator to move the cursor to a
particular icon and the secondary actuator allows the
20 operator to choose to perform the function of that icon.

 The control sphere is freely rotatable and mounted
within a support structure having at least three contact
points. These contact points are provided by rotatable
shafts and/or bearings having axes of rotation lying in at
least two different planes and preferably three respectively
25 different planes.

 In yet another alternative, the control sphere is
mounted within a support structure which provides four
contact points spaced apart in relative relationship so as
to define a tetrahedron shaped "pocket" for supporting the
30 control sphere. The significance of this configuration is

1 that the control sphere is not dependent upon gravity to be
retained within the pocket. A multi-axis controller using
this configuration may therefore be used in environments
5 where the controller is not horizontal (i.e. vertical or
upside down), or in zero gravity environments. This is in
contrast to prior known trackballs in which the control
sphere rests on contact points within the controller such
that the sphere loses contact of the x and y pickups if held
10 at a steep angle or upside down.

Fig. 1 illustrates the configuration for
supporting the spherical primary actuator for such a multi-
axis controller. Control sphere 20 is supported by
rotatable contacting means at first, second, third, and
15 fourth contacting points 1, 2, 3, 4, respectively, on the
surface of the sphere 20. The first contacting means is a
rotatable pick-up 7 axially oriented parallel to the y axis
of rotation 18 of the control sphere. Rotation of the
sphere around y axis 18 generates information about movement
20 in the y direction. The second contacting means is a
rotatable pick-up 6 axially oriented parallel to the x axis
of rotation 17 of the control sphere. Rotation of the
sphere around x axis 17 generates information about movement
in the x direction. The third contacting means is a
25 rotatable roller bearing 8. The fourth contacting means is
a roller bearing 9 having at least one axis of rotation
which is oriented parallel to the y axis 18. The first,
second, third and fourth contact points are spaced apart in
relative relation so as to generally define a tetrahedron 5.
30 In order to more specifically define the relative
orientation of the contacting points with respect to the

1 sphere, it should be noted that the control sphere 20 is
freely rotatable around center point 10, and sphere 20 is
characterized by a first plane or equatorial plane 11 which
5 defines upper and lower hemispheres of sphere 20, a second
plane 12 which is oriented perpendicularly to said first
plane and which defines left and right hemispheres of sphere
20, a first axis of rotation 17, i.e., an x axis of rotation
extending in perpendicular relationship to said first plane
10 11, a second axis of rotation, i.e., y axis 18 extending in
perpendicular relationship to the second plane 12 and
intersecting the first axis 17 at centerpoint 10.
Equatorial plane 11 need not be horizontal, as will be seen
below. Line 19 represents a spatial coordinate in the z
15 direction perpendicular to both the x and y axes.

The first and second axis of rotation 17, 18,
respectively, define a third plane 13 which is oriented
perpendicularly to both of the first and second planes, 11,
12 respectively, and which defines distal and proximal
20 hemispheres of the control sphere 20.

The planes and axes described above are geometric
characteristics and not physical characteristics of sphere
20. For example, the x and y rotational axes, 17 and 18,
respectively, define plane 13. The x and z axes, 17 and 19,
respectively, define plane 12. The y and z axes, 18 and 19,
25 respectively, define plane 11. Hence, rotation of the
sphere does not by itself involve rotation of the planes and
axes.

A first contacting means 7 contacts and supports
30 the control sphere 20 at a point 1 located within the region
where the distal and lower hemispheres of the primary

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1 actuator are co-extensive, and a second contacting means 6
contacts and supports the control sphere 20 at a point 2
located in a region where the left and proximal hemispheres
5 of the control sphere 20 are coextensive. Contact point 2
is preferably located in close proximity to equatorial plane
11.

A third contacting means 8 contacts and supports
the control sphere 20 at a point 3 located in a region where
10 the right, proximal and upper hemispheres of the control
sphere 20 are co-extensive.

A fourth contacting means 9 contacts and supports
the control sphere 20 at a point 4 located in the upper
hemisphere of the control sphere 20 in proximity to the x
15 axis 17 of rotation.

The above described configuration of contact
points generally defines a tetrahedron 5, each side of which
is smaller in length than the diameter of control sphere 20.
Thus, control sphere 20 is mounted within a "pocket" which
20 supports the sphere 20 in any gravitational orientation, or
in environments where there is no gravity. A control sphere
supported in this manner can be housed in vertical panels in
applications where horizontal space is limited. Fig. 2
illustrates a multi-axis controller 30 housed in a vertical
25 panel arrangement. Control sphere 20 extends beyond
aperture 31 so as to present an area of its surface for
actuating contact by the operator's fingers 32. This area
is designated herein as the "presentation surface".

Figs. 1a and 1b are further diagrammatic
30 illustrations of a preferred embodiment of the present

1 invention. Fig. 1a shows a side elevational view. Fig. 1b
shows a front elevational view.

5 First contact means 7 is a rotatable shaft of a y
pickup. The y pickup detects motion in the y axis direction
as the control sphere 20 rotates around the y axis, i.e.
rotational axis 18.

10 Second contact means 6 is a rotatable shaft of an
x pickup which detects motion in the x direction as control
sphere 20 rotates around the x axis, i.e. rotational axis
17. A feature of the present invention is that the first
and second contact points 1 and 2 of the first and second
contact means 7 and 6, respectively, are spaced apart from
the third plane 13. Contact point 1 is distally spaced
15 apart from plane 13 by distance d_1 . Contact point 2 is
proximally spaced apart from plane 13 by distance d_2 .
Preferably, d_1 is substantially equal to d_2 .

20 Third contacting means 8 is preferably a bearing
located proximally to the plane 13 and above equatorial
plane 11 at an angle E wherein E can be from 0° to 90°. In
order to inhibit the control sphere from "riding up" in the
support structure when the operator rotates the ball upward,
it is preferable to locate bearing 8 such that angle E is
from 20° to 70° and preferably from 40° to 50°.

25 It should be noted that the rotational axes of
shafts 6, 7 and 8 lie in respectively different geometric
planes. For example, there is no plane which contains the
rotational axes of both the x, and y pickup shafts 6 and 7
or of all three contact means 6, 7 and 8. The configuration
30 substantially improves contact between the control sphere
and the pickup shafts. More particularly, the tendency of

1 the control sphere to "ride up" in the suspension system
when vigorously actuated is substantially reduced.

5 The presentation surface P extends around the
distal most segment of the control sphere and, as mentioned
above, is the area of the surface of the control ball 20
which is presented for actuating contact by the operator's
fingers.

10 Referring to Fig. 1c, it should be noted that the
present invention will operate with three contact means,
i.e. 6, 7 and 8. The pocket formed by the three contact
means will retain the control ball in all orientations of
the apparatus in which the triangular plane defined by
points 1, 2 and 3 extends below the centerpoint of mass 10.
15 Hence, the fourth contact means 9 is optional and not
mandatory for the operation of the invention. However,
contact means 9 is preferred when it is desired to operate
the apparatus of the present invention in a gravity field in
any orientation including orientations in which the center
20 of mass 10 is not positioned above any portion of the
triangular plane defined by contact points 1, 2 and 3, and
when it is desired to operate the apparatus in the
equivalent of a zero gravity field, for example in
spacecraft.

25 Fourth contacting means 9, is preferably located
at the top of the control sphere in the vicinity of the x
axis of rotation 17. Although contact point 4 can be
directly aligned with axis 17 it has been found to be
advantageous to locate contact means 9 a little bit distally
30 of axis 17 so as to define an angle F with plane 13. Angle
F can be from about 0° to about 15°, and is preferably about

1 1° to about 5°. Greater holding power is achieved so that
the control ball is more securely maintained. Although this
position creates more drag when the control ball is rotated
5 around x axis 17 than if the fourth contact means were
located directly at axis 17, it has unexpectedly been found
that a small amount of drag is beneficial for maintaining a
balance in the drag forces.

10 It is possible, using the above described support
system, to construct an ergonomic multi-axis controller for
desk top applications and the like. Such a controller is
illustrated in Figs. 3, 3a, 4, 4a, and 5.

Referring to Figs. 3, 3a, and 4, and 4a multi-axis
controller 40 comprises a base 41 and an upper portion 42.
15 Upper portion 42 includes a top surface 44 and a distal or
front access face 43 having an aperture 43a. The primary
actuator is a substantially spherical control ball 46
(corresponding to control sphere 20 of Figs. 1, 1a and 1b)
which is mounted at the front access face 43 such that a
20 portion of the control ball 46 (the presentation surface)
extends through aperture 43a to allow manual contact for
actuation, i.e. movement of the control ball 46 which is
freely rotatable. Control ball 46 is mounted by means of
support frame 47 which includes three distally projecting
25 rigid arms 51a, 51b, and 51c, each of which contains
bearings at their distal end for rotatably mounting the
control ball contact supports. One contact support is
provided by x axis pick-up shaft 53 (corresponding to
contact means 6 of Figs. 1, 1a and 1b) which is rotatably
30 mounted at two points by bearings 52 and extends between two
of the arms, 51a and 51b. The x axis pick-up shaft 53

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1 contacts the surface of control sphere 46 at a point 53a
(corresponding to second contact point 2 of Fig. 1)
approximately midway between the bearings 52 in arms 51a and
5 51b. The x axis pick-up shaft has an encoder 54 at the end
of the shaft.

The x pick-up is axially oriented in parallel
relationship to the x axis of rotation of the control sphere
46. See, for example, Fig. 1 in which x axis of rotation 17
10 extends vertically through the center point 10 of the
sphere, and contact means 6 is oriented parallel thereto.
The x axis of rotation of control ball 46 in controller 40,
however, is preferably oriented at angle B from vertical
(base 41 defining the horizontal). Angle B can be from 0°
15 to 70° but is preferably from 5 to 30° and more preferably
from 10 to 20°. X axis pick-up 53 is, therefore, also
inclined from vertical angle B. Moreover, the x and y axes
define a plane (see plane 13, Fig. 1) which is also oriented
at angle B, or, in other words, at an angle of from 20° to
20 90° from horizontal, preferably 60°-70°, and more preferably
65°-70° from horizontal.

The z-coordinate axis 19 (see also Fig. 1) extends
outward at angle C which is equal in magnitude to angle B.
The primary actuator, i.e. control ball 46, is effectively
25 presented to the operator at an angle off horizontal, which
is designated herein as a presentation angle.

The presentation angle, i.e. the angle at which
the control sphere is "presented" to the user for actuating
contact, is defined as the angle at which the z-coordinate
30 axis is inclined from horizontal. In the present
embodiment, as indicated above, the presentation angle, i.e.

1 angle C, can range from 0 to 70°. In contrast, conventional trackballs known in the art are operated from above and therefore have an angle of presentation of 90°.

5 Referring again to Figs. 3 and 4, an arm 51c extends distally from carriage 47 at the side of control ball 46 laterally across from x axis pick-up 53. Support roller 58 (corresponding to third contact means 8 as shown in Figs. 1, 1a and 1b) is rotatably by means of axle 58b
10 mounted at the distal end of arm 51c and contacts control sphere 46 at point 58a (corresponding to third contact point 3 as shown in Figs. 1 and 1a) such that the lateral distance between points 58a and 53a is less than the diameter of control ball 46 so as to prevent the control 46 from falling
15 backwards. In other words, x pick-up 53 and support roller 58 each contact control sphere 46 at respective points proximal to the plane defined by the x and y rotational axes of control sphere 46. See for example, Fig. 1 wherein points 2 and 3 are located proximally to plane 13. Also,
20 support roller 58 is inclined so as to contact the control ball at an angle E as discussed more fully with respect to Fig. 1b. Support roller 58 is depicted as being cylindrically shaped and rotatable around a single axis. However, as an alternative, support roller 58 can be a
25 spherical ball roller which is freely rotatable and amount in any appropriate support which is standard for spherical rollers. Y axis pick-up shaft 55 (corresponding to first contact means 7 as shown in Figs. 1, 1a and 1b) is rotatably mounted on upstanding support arms 57 by means of bearings
30 52. Y encoder 56 is located at one end of the Y axis pick-up shaft 55. Shaft 55 is axially oriented in parallel

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1 relationship to the Y rotational axis of the control ball
46. See for example Fig. 1, wherein y rotational axis 18
extends through the center 10 of sphere 20.

5 Y pick-up 55 contacts control ball 46 at a point
55a (corresponding to first contact point 1 of Fig. 1)
below the equatorial plane and distal to the x rotational
axis 17 of the control ball 46.

Support roller 59 contacts the surface of the
10 control ball 46 at point 59a (corresponding to fourth
contact point 4 as shown in Figs. 1 and 1a) which may be at
the top of ball in line with x axis 17, as shown in Fig. 3,
or more preferably, slightly distal to the x axis as
discussed above with respect to Fig. 1a.

15 Support roller 59 (corresponding to fourth contact
means 9 as shown in Figs. 1, 1a and 1b) may be a cylindrical
type roller, rotatably mounted by bearings 52 such that it
rotates around a single axis, or it may be a ball roller in
an appropriate standard mounting. Support roller 59 is
20 preferably mounted on a pivotally supported rocker arm 62
which is biased by compression spring 61 so as to keep
support roller 59 in contact with control sphere 46. Spring
biasing as illustrated permits the control ball 46 to be
removably inserted into the tetrahedral pocket as
25 diagrammed in Fig. 1, yet remain securely in place.
Preferably, rocker arm 62 is longitudinally adjustable so as
to allow repositioning of roller 59 with respect to control
sphere 46. The biasing force applied to maintain control
sphere 46 in the tetrahedral retaining pocket can be
30 varied in accordance with the type of compression spring 61
used.

1 The top surface 44 is preferably sloped at an
average angle A relative to base 41, which it contacts at
the proximal end of the multi-axis controller 40. Angle A
5 can be from 1 to 60° and is preferably from 20 to 50°, and
optimally 25 to 45°.

 The front access face 43 and top surface 44 can be
curved. Referring to Fig. 5, in use of the invention an
operator will rest the palm 73 of his or her hand 70 on the
10 top surface 44 such that at least the forefinger 71 and/or
middle finger will overhang the front access face 43 so as
to be able to contact the presented surface of the control
ball 46, i.e. the surface of that portion of the control
15 ball 46 which extends through aperture 43 to the outside of
the upper portion 42. Angle D between the front access 43
and top surface 44 corresponds to the natural curvature of
the human hand and can range from about 80° to about 140°
with the preferred angle ranging from 90 to 120°. The
distal portion of the palm as well as the proximal portion,
20 or "heel" of the palm is adequately supported.

 Secondary actuators 65 and 66 are positioned on
side 45 of the upper portion in a location easily and
naturally within the reach of the operator's thumb 72.
Secondary actuators are well known and one skilled in the
25 art would have many alternatives to choose from in
incorporating one or more secondary actuators into the
multi-axis controller of the present invention.
Additionally, the secondary actuators can be used to input
information as to the z coordinate direction.

30 Encoders such as x encoder 54 and y encoder 56
(Figs. 3 and 4) are also known to those with skill in the

1 art. Typically, such encoders can have a plurality of
apertures, such as apertures 56a, around the periphery of
the encoder for use with light signaling components.
5 Examples of such encoders are described in U.S. Patent Nos.
4,533,830, 3,304,434 and 3,541,521, all of which are herein
incorporated by reference. Means for formatting data from
the encoders and/or secondary actuators and transmitting the
data to the controlled device or system (e.g., computers,
10 microcontrollers, etc.) are also well known in the art.

The multi-axis controller 40 (Fig. 5) is
configured and dimensioned so as to fit the size of the
human hand. Generally, the length L of the controller is
preferably 3 to 10 inches, the height H is preferably 2 to 4
15 inches. The control ball diameter is preferably about 1 to
4 inches, although any size appropriate to the functioning
of the instrument may be used.

Figs. 3a and 4a illustrate the multi-axis
controller of the present invention which employs three
contacting means rather than four, i.e., support roller 59
20 is optional.

In addition to trackball type controllers the
present invention may be embodied in a control stick or
"joystick" type controller. A joystick controller such as
that described in U.S. Patent No. 3,760,320, herein
25 incorporated by reference in its entirety, may be mounted in
the housing illustrated in Figs. 3 to 5, such that the
joystick rather than a trackball is located at the front
access face and is actuated as shown in Fig. 5, i.e., by the
operator's fingers overhanging the top of the controller.
30 Actuation of the joystick of the above referenced panel

1 entails pivoting the joystick from its central position.
Pivoting from side to side, for example determines the x
axis coordinates, whereas pivoting the joystick up and down
5 determines y axis coordinates. The presentation angle in
such a device is the angle between the horizontal, as
defined by the base, and the z axis, which is defined by the
unactuated joystick.

However, a preferred embodiment is described below
10 wherein the joystick is slidably mounted rather than
pivotally mounted. The x, y actuation of the preferred
joystick controller is accomplished by translational
movement of the primary actuator, i.e., the joystick, in an
x, y plane. The joystick is biased to a central rest
15 position by a cantilever spring.

Referring to Figs. 6 and 7, joystick type
controller 80 includes a base 81 and upper portion 82 having
a front access face 83, side surfaces 85, and top surface
84. Aperture 83a in the front access face allows passage
20 therethrough of the joystick, i.e. x, y actuator 86. The
top surface 84 joins base 81 at the proximal end of
controller 80 and the angle A formed therebetween can range
from 1 to 60°, more preferably 20 to 50° and optimally from
about 25 to 45°. X-Y actuator 86 includes a relatively wide
25 contact portion 86b mounted to shaft 86a. The contact
portion 86b presents a control surface for receiving
actuating contact by the user's finger. The control surface
is positioned at the distal end of the joystick, as opposed
to conventional joysticks which are usually operated by user
30 contact of the sides of the stick. The contact portion 86b
optionally includes a z axis actuator 87 by which the

1 operator can input coordinate data with respect to z axis.
The z axis actuator 87 can be a movable plunger type with a
compression spring 88 to bias the z actuator distally. A z
5 encoder 89 detects motion of the z actuator shaft 87a and
generates a signal accordingly.

The x-y actuator 86 is mounted to member 95 which
is slidably mounted by means of oilless bearings 95b to a
pair of parallel rails 96a and 96b oriented in the y axis
10 direction. Contact member 95a which is attached to member
95, contacts elongated y axis encoder 97 which generates a
signal in accordance with the location of contact member 95
relative to the lengthwise extent of encoder 97, for
example, by potentiometric means.

15 Parallel rails 96a and 96b are both fixed at their
ends in members 98a and 98b, which are slidably mounted by
means of oilless bearings 98d on parallel rails 99a and 99b
respectively. Parallel rails 99a and 99b are oriented
lengthwise in the x axis direction. Member 98b has a
20 contact member 98c for contacting x axis encoder 100.

Member 95 is mounted to z axis encoder 89 which
transform mechanical movement of the z actuator 87 to a
signal for example by potentiometric means such that the
signal is indicative of the magnitude of movement of the z
25 actuator.

Referring to Fig. 7, cantilever spring 101 extends
to mounting backstop 103 at its distal end. At its proximal
end, cantilever spring 101 is mounted to cantilever bearing
102. Cantilever spring 101 extends at an angle C from base
30 81. Angle C is preferably from 0° to 60°, and more
preferably from 20° to 35°.

1 Cantilever spring 101 is preferably fabricated
from a resilient metal, although any material having
suitable strength and resiliency for the purpose of this
5 invention may be used.

The use of the cantilever spring 101 provides an
important advantage to the operation of the joystick
inasmuch as the biasing force of the spring is substantially
uniform with respect to the direction in which the primary
10 actuator is moved.

When cantilever spring 101 is unbent, angle C will
be equal to the presentation angle, which has been defined
as the angle at which the z axis is inclined from the
horizontal. Vertical movement of the primary actuator 86
15 from the center position will slightly change angle C.
However, the presentation angle will remain the same since
the angle of primary actuator 86 relative to the base 81
does not change.

Referring to Figs. 8 and 8a, the multi-axis
20 controller of the present invention can employ control
panels or surfaces as the primary actuator. Controller 120
includes a housing 121 having inclined surface 121a for
providing support to the palm of the user's hand. Primary
actuator 122 is a touch sensitive pad which senses the x y
25 orientation of a point of pressure applied to its surface
by, for example, the user's finger. Such pads are commonly
available and well known in the art. The angle between a
line extending perpendicularly to the x y plane of the pad
and the horizontal or base line, defines the presentation
30 angle C.

1 While the above description contains many
 specifics, these specifics should not be construed as
 limitations on the scope of the invention, but merely as
5 exemplifications of preferred embodiments thereof. Those
 skilled in the art will envision many other possible
 variations that are within the scope and spirit of the
 invention as defined by the claims appended hereto.

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1 WHAT IS CLAIMED IS:

1. A manually operable multi-axis controller,
which comprises:

5 a housing having a base, and an upper portion
having a forward access face;

a primary actuator for generating spatial
coordinate information and having a presentation angle for
operator access of from about 0° to 70°;

10 means for retaining said primary actuator at said
forward access face at said presentation angle; and

pick-up means for generating and transmitting at
least one signal in response to actuation of said primary
actuator.

15 2. The apparatus of claim 1 wherein said upper
portion has a top surface, said top surface and said base
generally define an angle of from about 15 to 30 degrees and
said forward access base and said top surface generally
20 define an angle of from about 80 to 140 degrees.

3. The apparatus of claim 1 wherein said forward
access face includes an aperture through which said primary
actuator at least partially projects.

25 4. The apparatus of claim 1 wherein said primary
actuator is a control sphere.

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1 5. The apparatus of claim 4 wherein said control
sphere is rotatable around both first and second axes
orthogonally oriented with respect to each other, said first
5 and second axes defining a plane, said plane and said base
defining an angle of from about 20 to 90 degrees.

 6. The apparatus of claim 5 wherein said plane
and said base define an angle of from about 65 to 70
10 degrees.

 7. The apparatus of claim 5 wherein the means
for retaining said primary actuator comprise contacting
means at first, second, third and fourth contact points on
15 the surface of said control sphere, said first, second,
third and fourth contact points being spaced apart in
relative relationship so as to generally define a
tetrahedron with each side being smaller in length than the
diameter of the control sphere.

20 8. The apparatus of claim 7 wherein the
contacting means at said first, second, third and fourth
contact points respectively are rotatable contacting means.

25 9. The apparatus of claim 7 wherein the
contacting means on at least two of said first, second,
third and fourth contacting points are pick-up means for
generating a signal in response to rotation of said primary
actuator control sphere.

1 10. The apparatus of claim 9 wherein said pick-up
means each comprise a shaft axially oriented in parallel
relationship to a respective one of the coordinate axes of
5 rotation of said contact sphere, and an encoding means
associated with said shaft.

 11. The apparatus of claim 1 wherein the primary
actuator is a joystick.

10 12. The apparatus of claim 11 wherein said means
for positioning said joystick comprises: a joystick holder
movable in one of either the x or y directions and slidably
mounted on a first support means, said first support means
15 being movable in the other of the x or y directions and
being slidably mounted on a second support means
orthogonally oriented with respect to said first rectilinear
support means; and,

 means for biasing said joystick to a central
20 position.

 13. The apparatus of claim 12 wherein said
biasing means comprises a cantilever spring fixedly attached
at its proximal end to the housing, and having a distal end
25 connected to the joystick holder.

 14. The apparatus of claim 13 wherein said
joystick comprises a distal contact surface for contact by
the hand of an operator.

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1 15. The apparatus of claim 14 wherein said distal
contact surface includes a portion for receiving applied
pressure generally proximally directed onto said distal
5 contact surface, said apparatus further comprising means for
generating a signal in response to the applied pressure.

 16. The apparatus of claim 15 wherein said means
for generating a signal in response to the applied pressure
10 comprises a distally biased slidable member which is movable
in a z axis direction in response to the applied pressure,
and means for generating an electrical signal indicative of
the magnitude of movement of the slidable member.

15 17. The apparatus of claim 1 further including at
least one secondary actuator associated with said at least
one side surface.

 18. The apparatus of claim 17 wherein said
20 secondary actuator is a push button controller.

 19. The apparatus of claim 1 wherein said primary
actuator comprises a touch sensitive control surface.

25 20. A multi-axis controller which comprises:
a substantially spherical primary actuator
supported by rotatable contacting means at first, second,
third, and fourth contact points on the surface of said
spherical primary actuator, said first, second, third and
30 fourth contact points being spaced apart in relative
relationship so as to generally define a tetrahedron.

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1 21. The multi-axis controller of claim 20 wherein
each side of said tetrahedron is smaller in length than the
diameter of said spherical primary actuator.

5 22. A multi-axis controller, which comprises:
a) a spherical primary actuator characterized by
a first plane which defines upper and lower
hemispheres;

10 a second plane which is oriented transversely to
said first plane and which defines a left hemisphere and a
right hemisphere;

 a first axis of rotation extending in
perpendicular relationship to said second plane and a second
axis of rotation intersecting said first axis of rotation at
15 the centerpoint of said spherical primary actuator;

 said first and second axes of rotation defining a
third plane which is oriented in transverse relationship to
said first and second planes, said third plane defining a
front hemisphere and a rear hemisphere of the spherical
20 primary actuator;

 b) a first contacting means for supporting said
spherical primary actuator at a location where the front and
lower hemispheres of the primary actuator are coextensive;

25 c) a second contacting means for supporting said
spherical primary actuator at a location where the rear
hemisphere and one of said left or right hemispheres of the
primary actuator are coextensive; and,

30 d) a third contacting means for supporting said
spherical primary actuator at a location where the upper and
rear hemisphere and the other of said left or right

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1 hemispheres of the spherical primary actuator are
coextensive.

5 23. The apparatus of claim 22 further comprising
a fourth contact means for supporting said spherical primary
actuator at a point in the upper hemisphere of the spherical
primary actuator in the vicinity of the first coordinate
axis of rotation.

10 24. A multi-axis controller, which comprises:
a) a spherical primary actuator characterized by
a first plane which defines upper and lower
hemispheres;

15 a second plane which is oriented transversely to
said first plane and which defines a left hemisphere and a
right hemisphere;

20 a first axis of rotation extending in
perpendicular relationship to said second plane and a second
axis of rotation intersecting said first axis of rotation at
the centerpoint of said spherical primary actuator;

25 said first and second axes of rotation defining a
third plane which is oriented in transverse relationship to
said first and second planes, said third plane defining a
distal hemisphere and a proximal hemisphere of the spherical
primary actuator;

30 b) a rotatable first contacting means for
supporting said spherical primary actuator at a location
where the distal and lower hemispheres of the primary
actuator are coextensive;

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1 c) a rotatable second contacting means for
supporting said spherical primary actuator at a location
where the proximal hemisphere and one of said left or right
5 hemispheres of the primary actuator are coextensive;

said first and second contacting means being
located distally and proximally, respectively, a
substantially equal distance from the third plane.

10 d) a rotatable third contacting means for
supporting the spherical primary actuator at a location
where the upper, proximal, and the other of said left or
right hemispheres are coextensive.

15 25. The apparatus of claim 24 further comprising
a rotatable fourth contacting means for supporting said
spherical primary actuator at a point on the upper
hemisphere of the spherical primary actuator which is
distally spaced apart from the first coordinate axis of
rotation.

20 26 The apparatus of claim 24 further comprising
a rotatable fourth contacting means for supporting said
spherical primary actuator at a point on the upper
hemisphere of the spherical primary actuator which is in
25 close proximity to the first coordinate axis of rotation.

27. An multi-axis controller for operation by the
hand of an operator, which comprises:
a housing having a surface for contacting and
30 providing support for the palm of the operator's hand; and,

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1 at least one primary actuator located at the
distal end of said housing and positioned such that the
primary actuator presents a surface for actuation by any of
5 the fingers of the operator's hand exclusive of the thumb.

28. The multi-axis controller of claim 27 further
comprising at least one secondary actuator positioned on the
side of said housing for actuation by the thumb of the
10 operator's hand.

29. A multi-axis controller which comprises:
a substantially spherical primary actuator
supported by at least three rotatable contact means, the
15 rotational axes of said contact means lying in respectively
different geometric planes.

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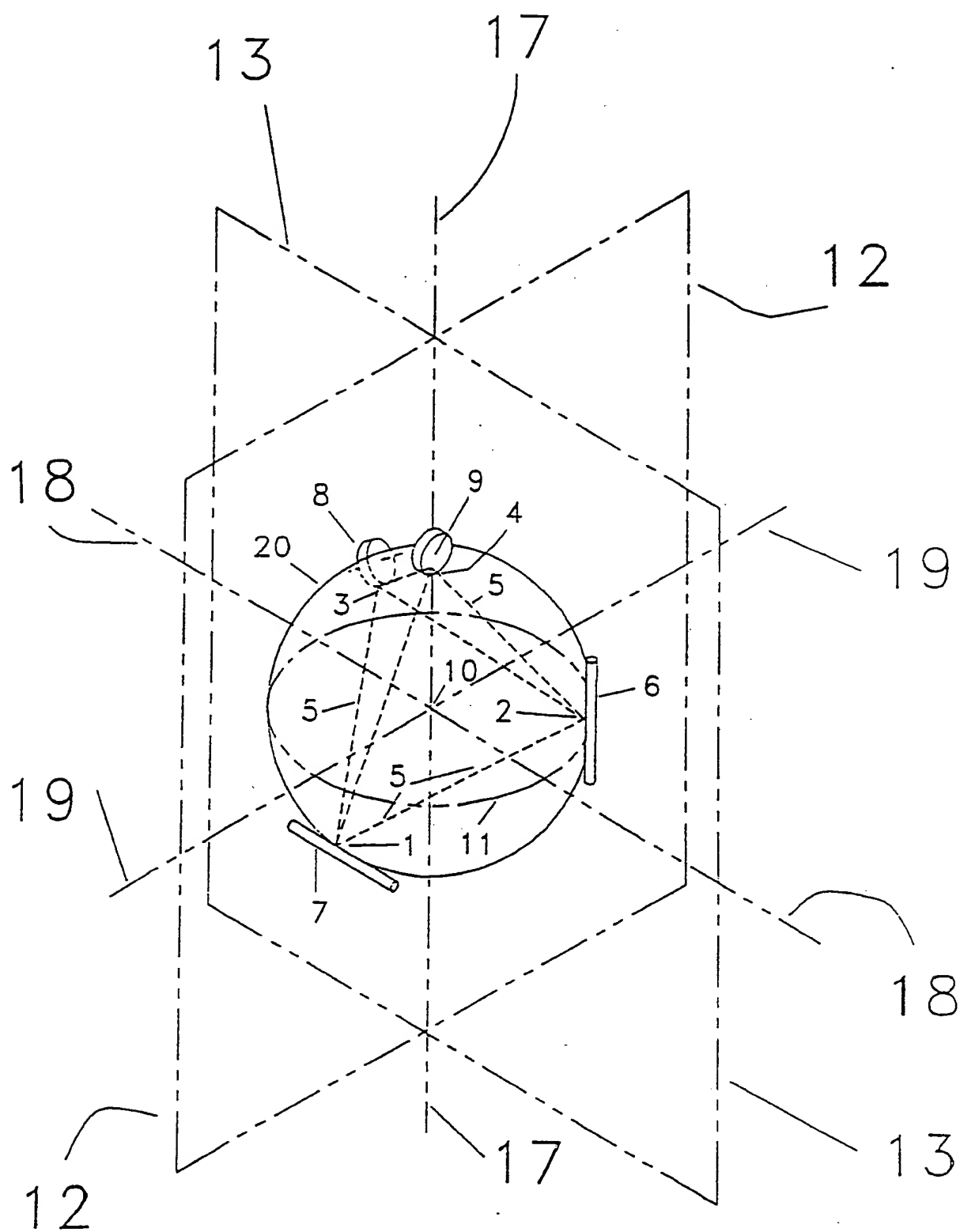


Fig 1

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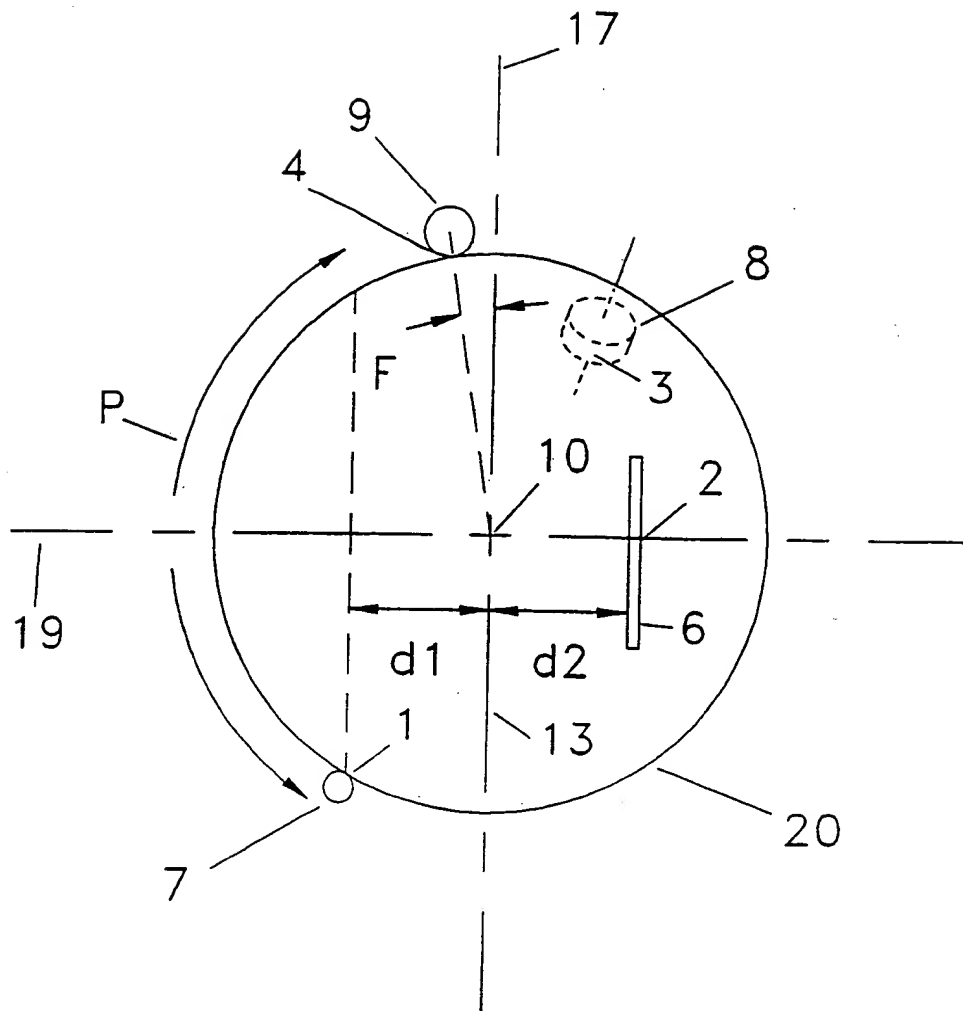


Fig 1a

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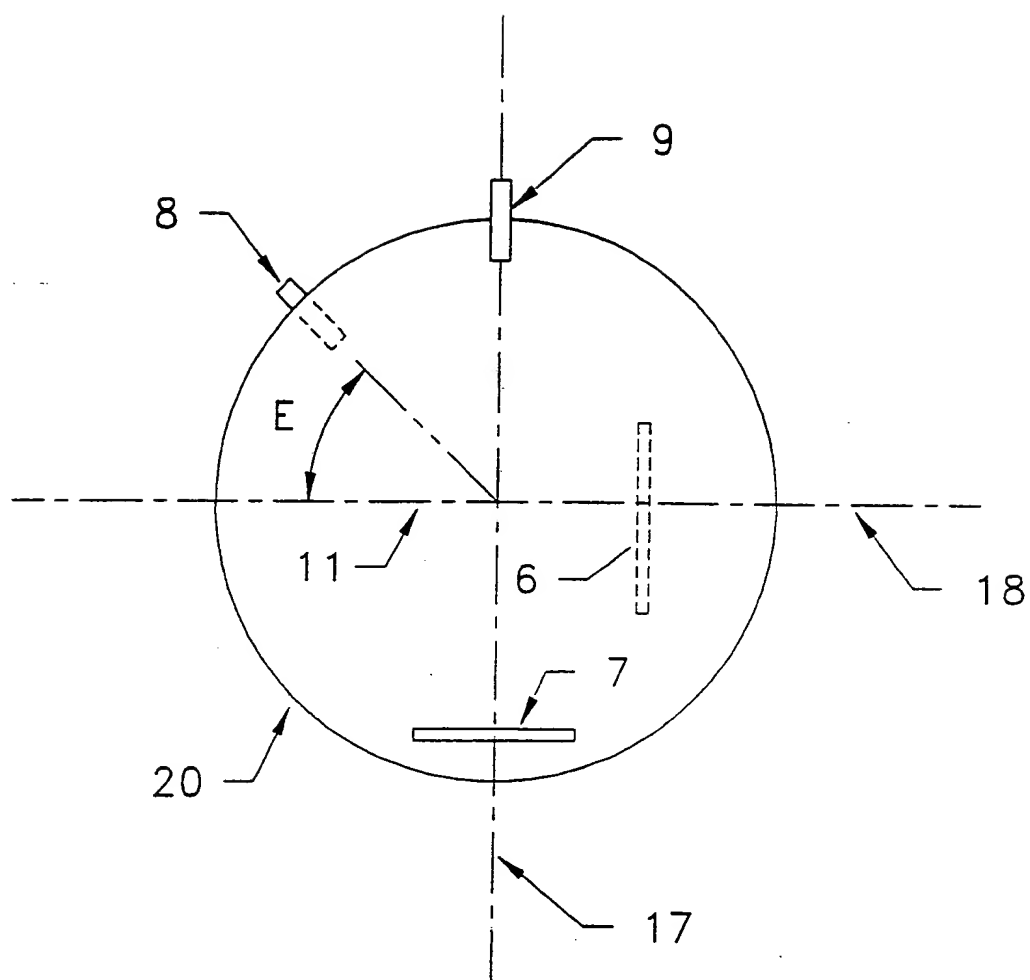


Fig 1b

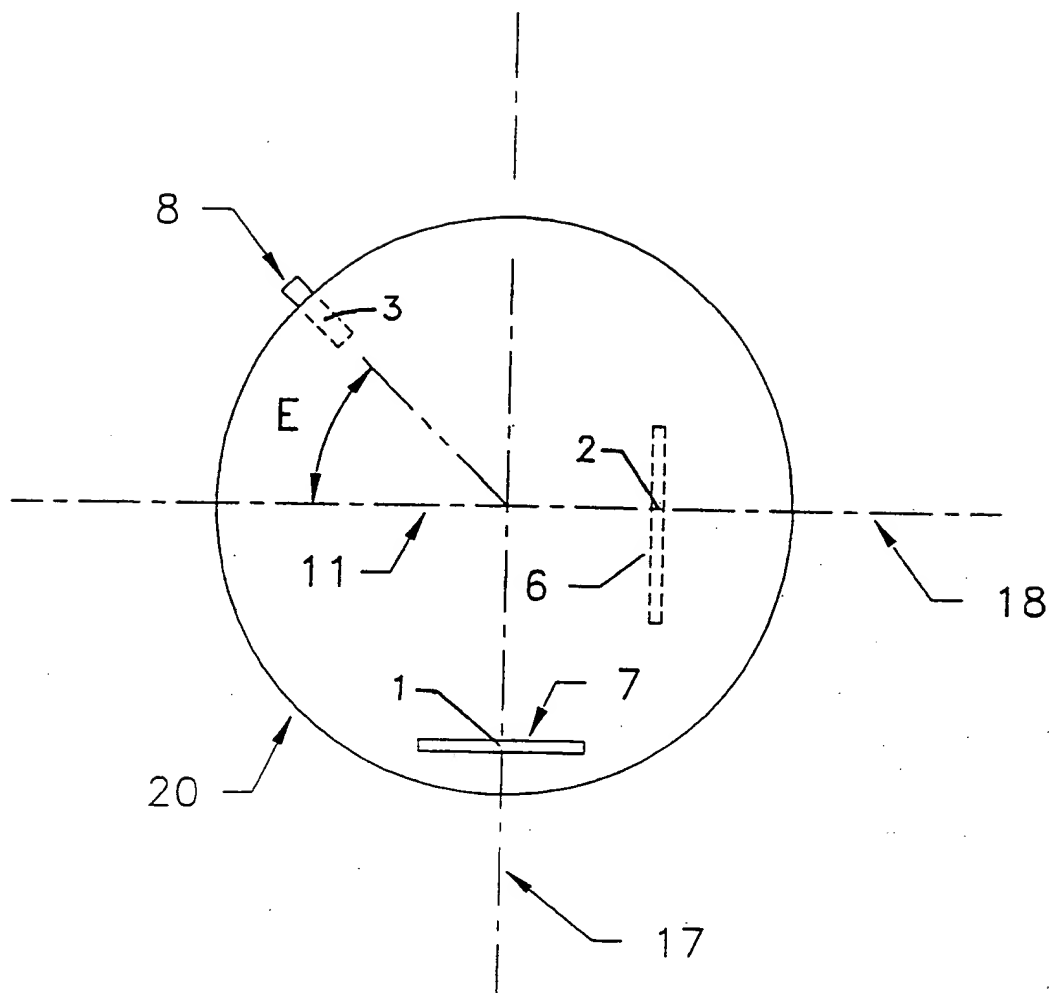


Fig 1c

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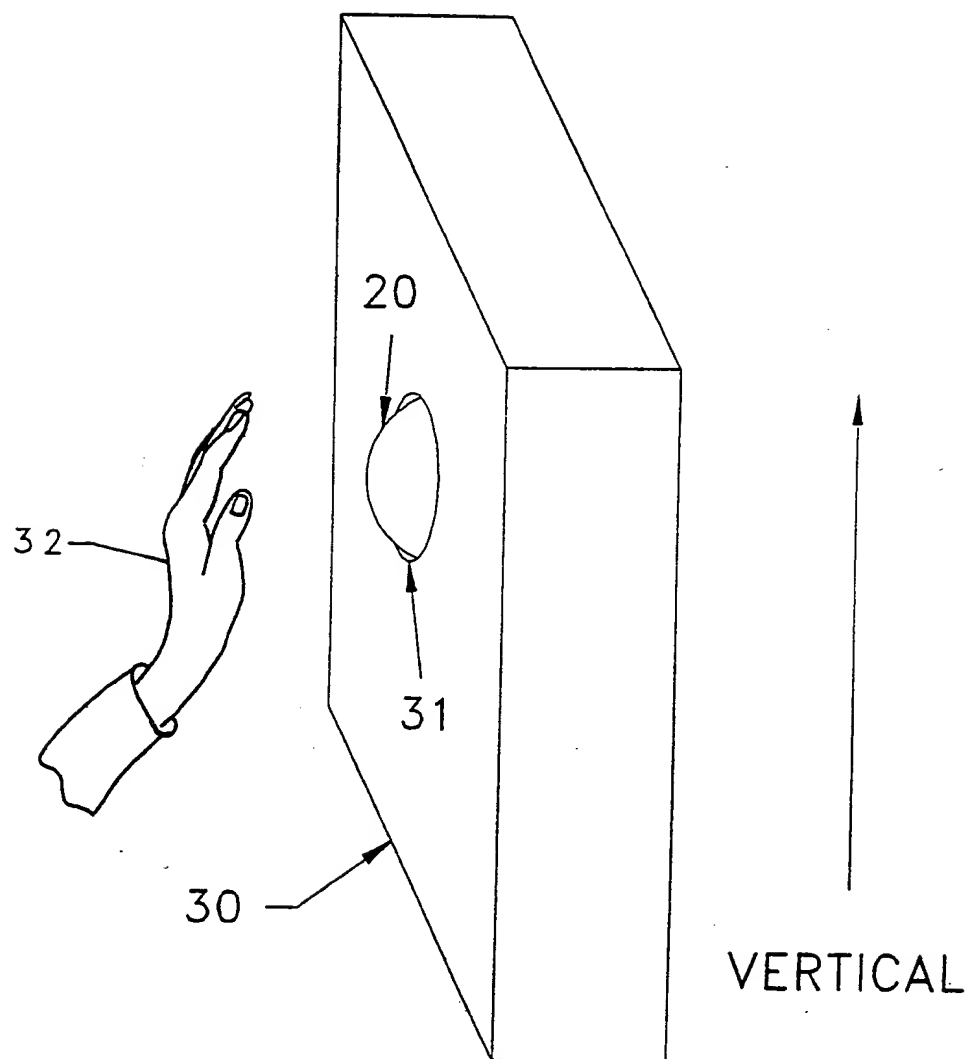


Fig 2

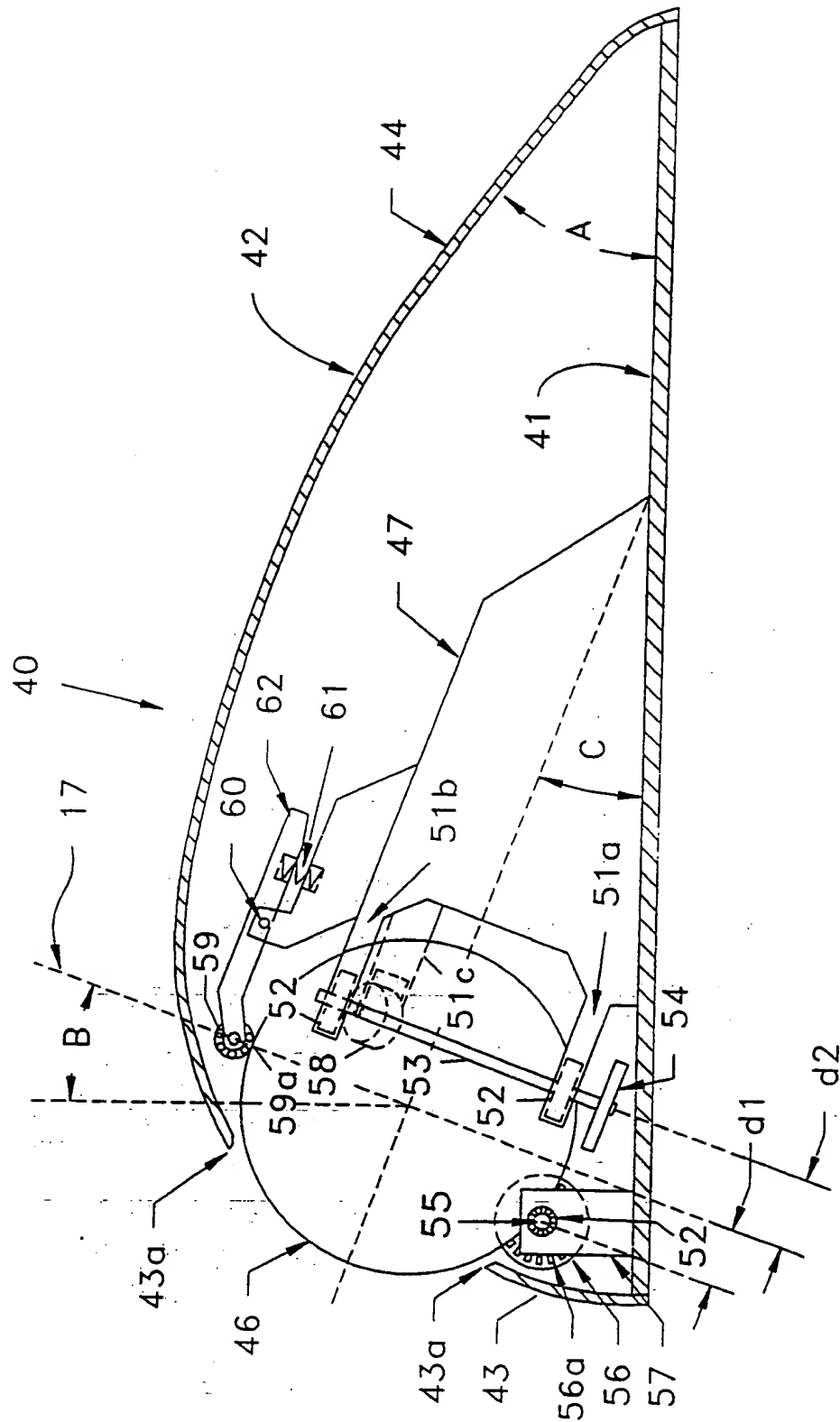


Fig 3

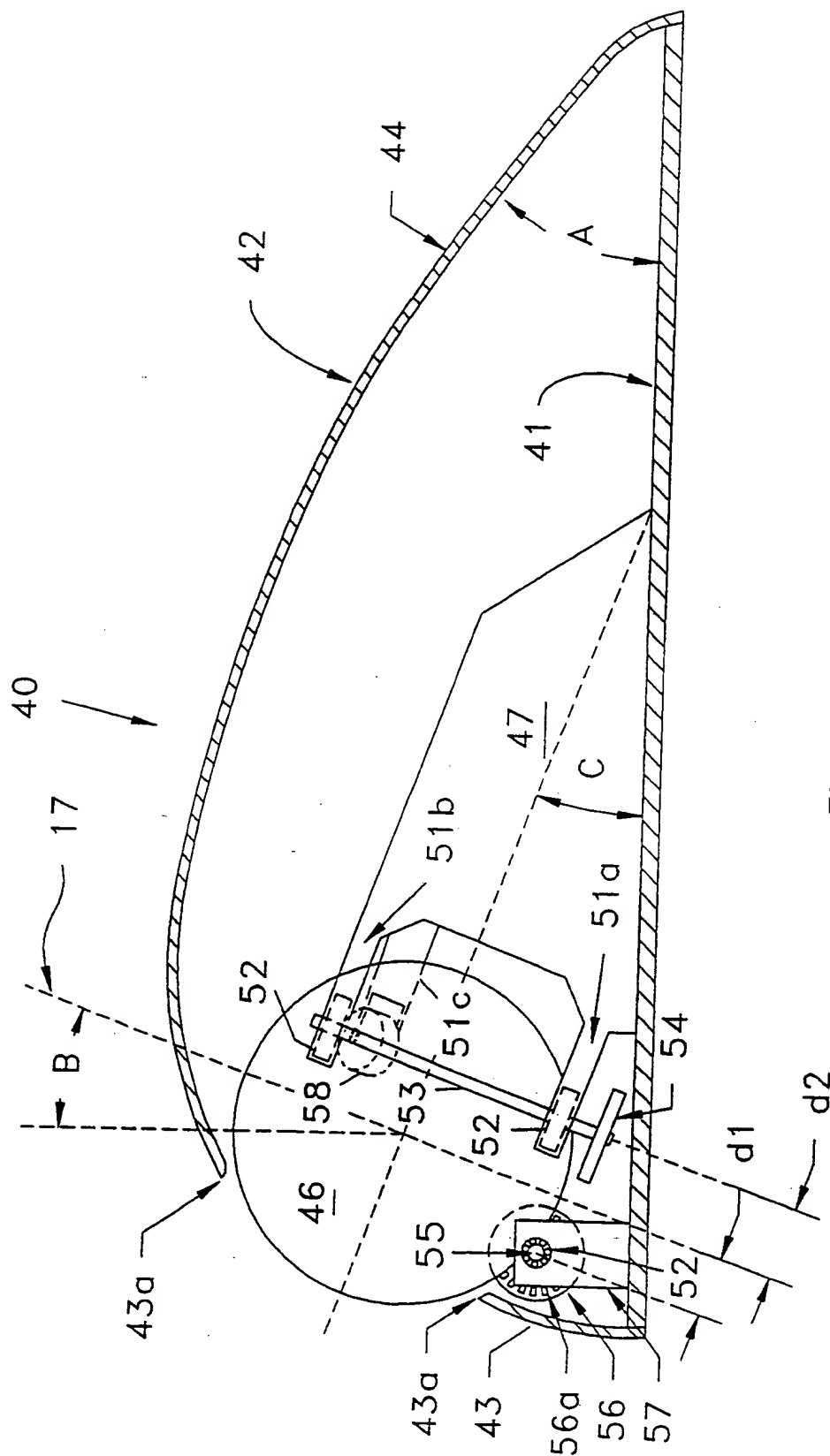
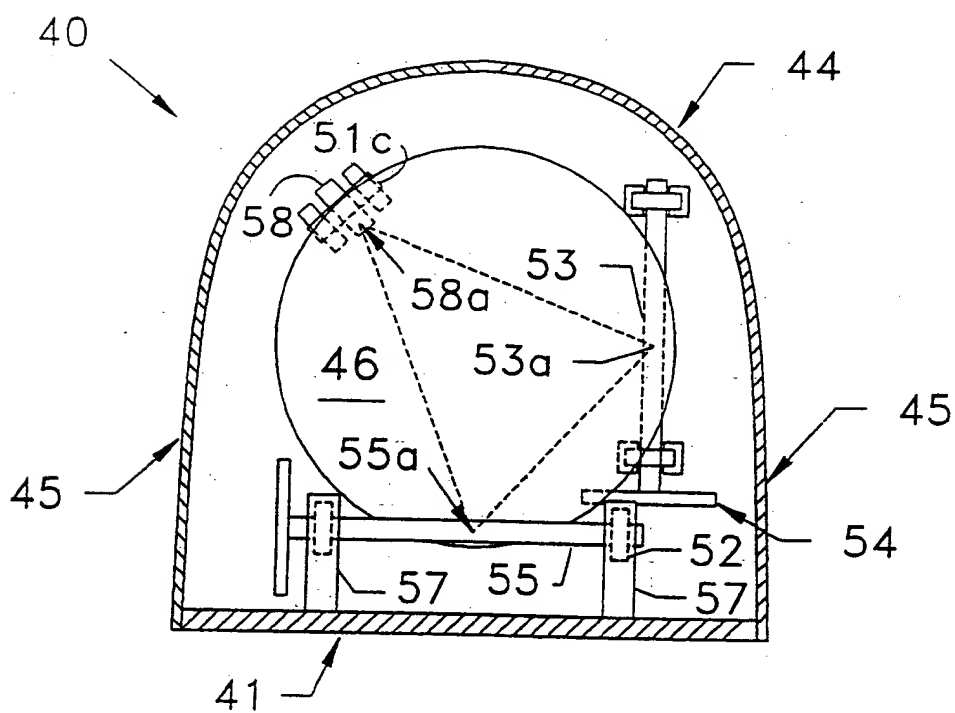
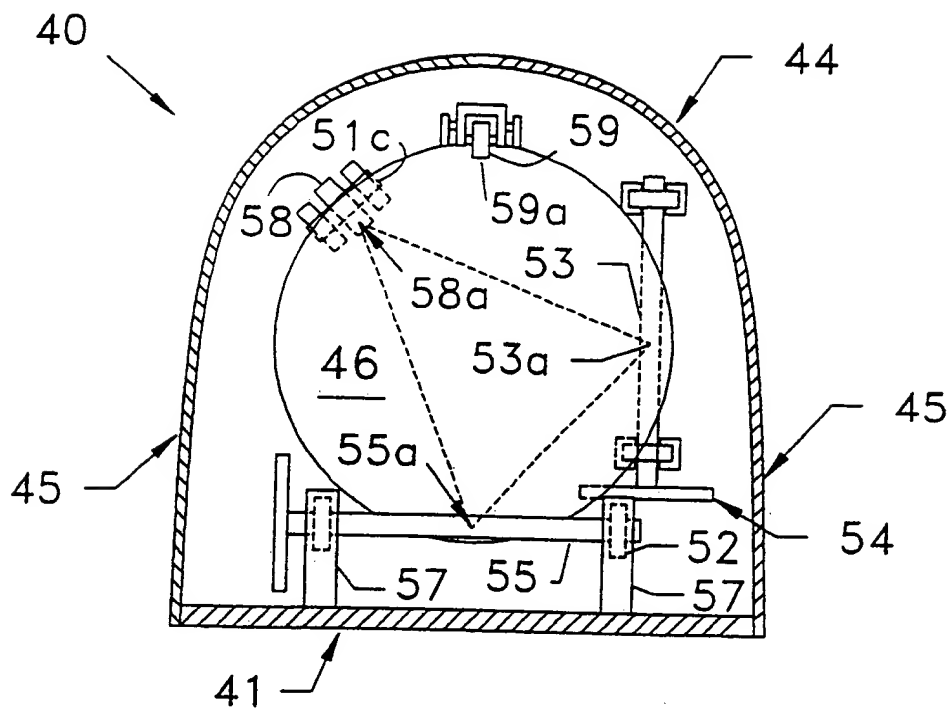


Fig 3a



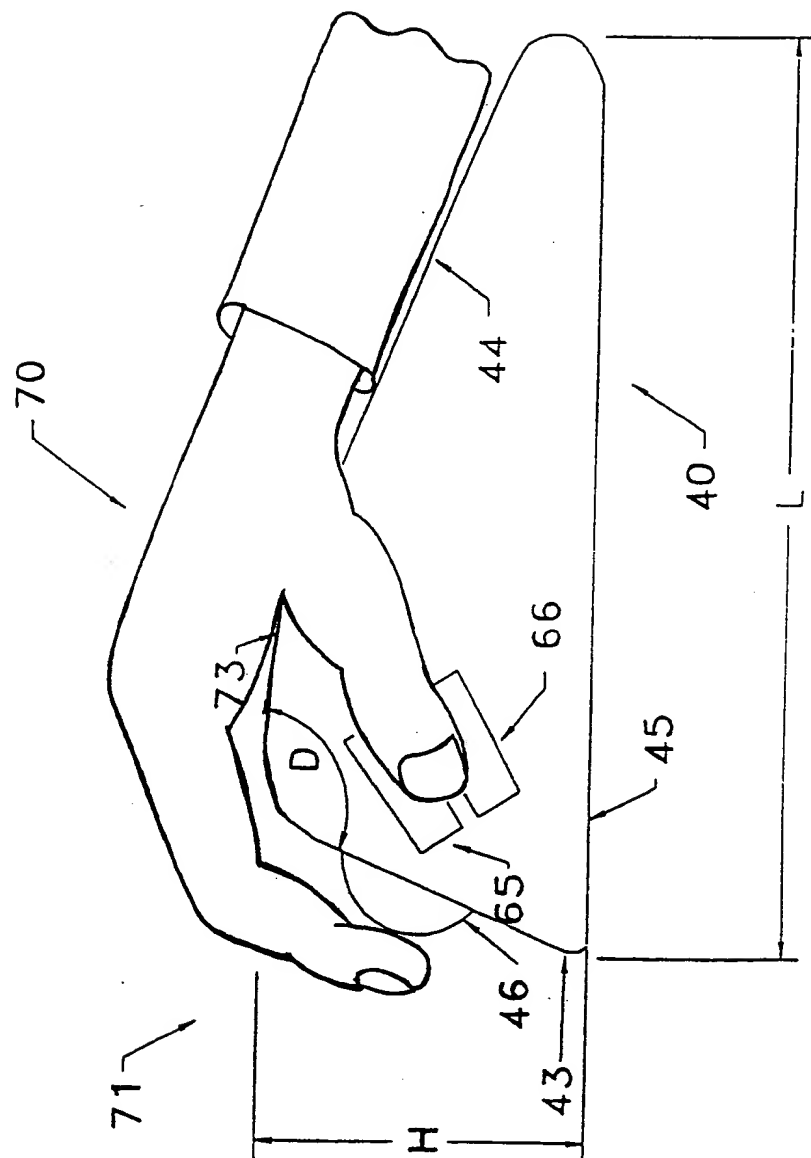


Fig 5

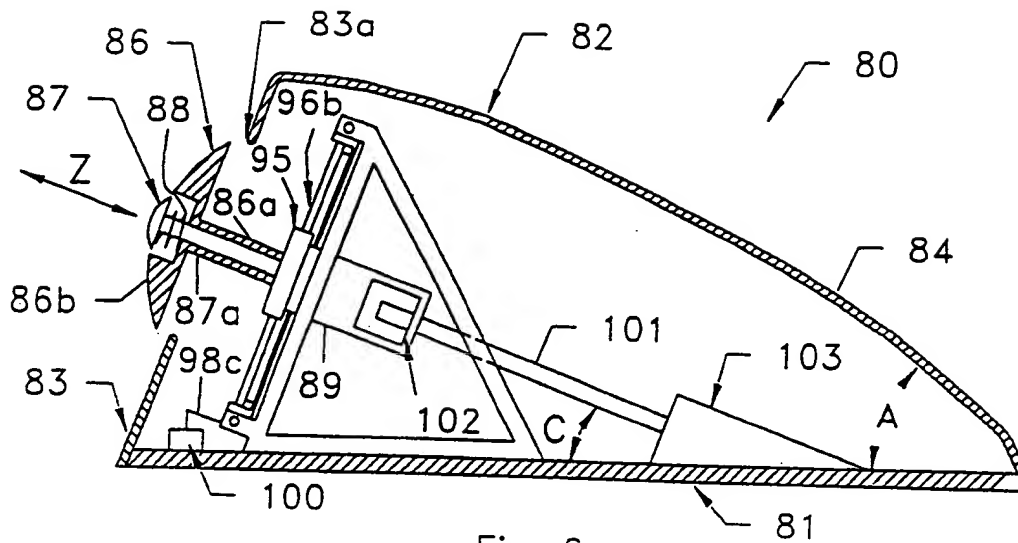


Fig 6

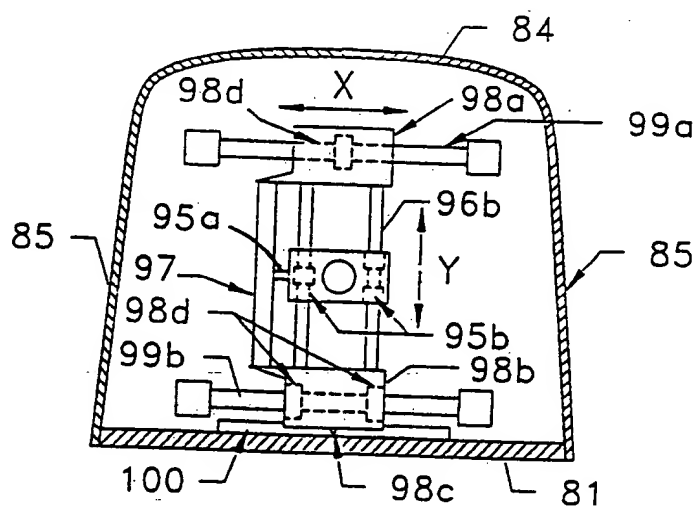


Fig 7

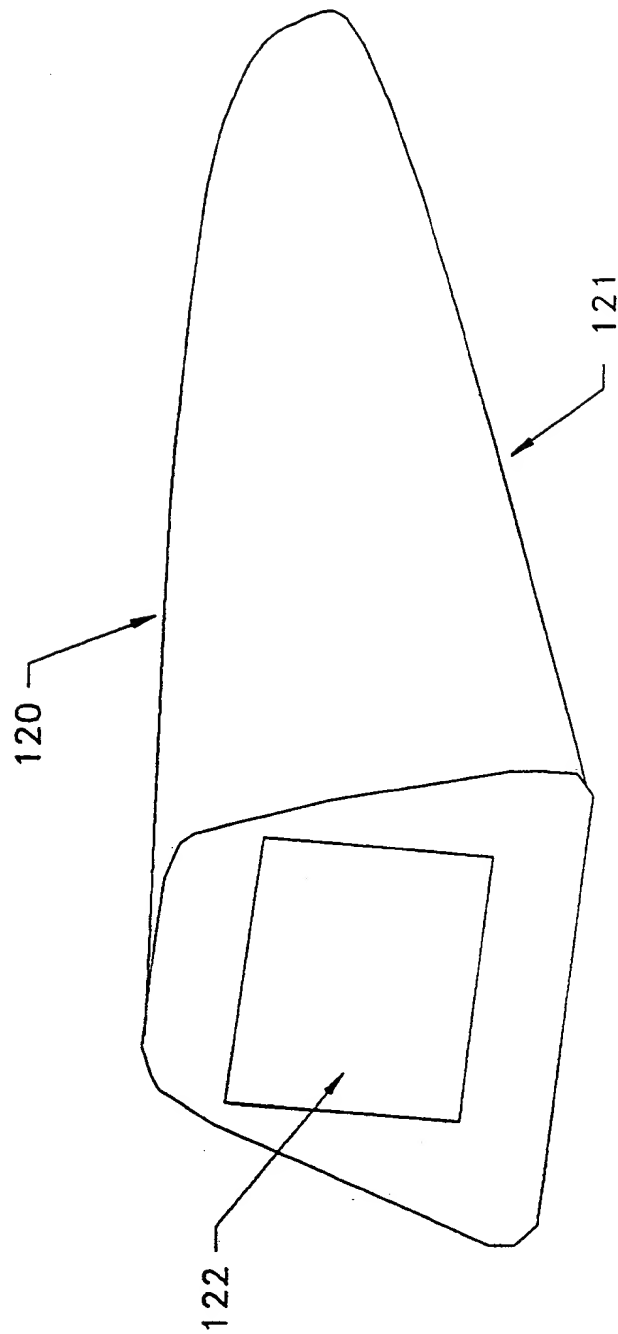


Fig 8

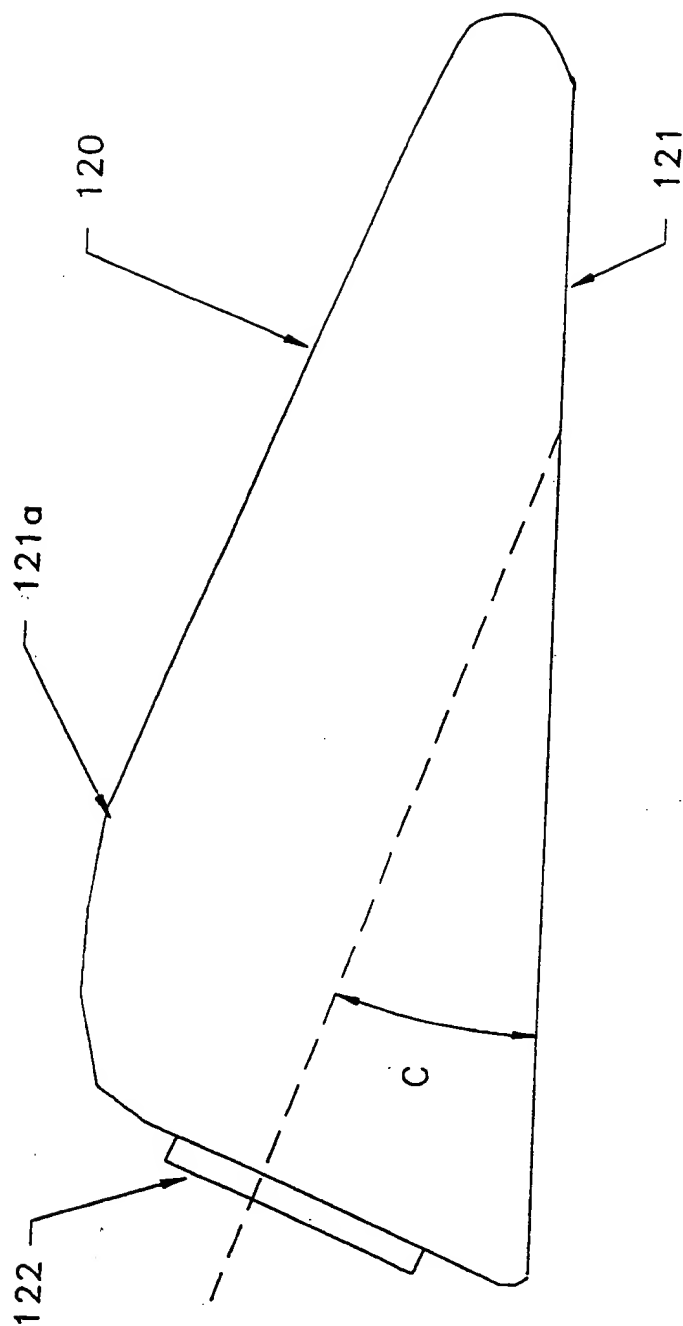


Fig 8a

INTERNATIONAL SEARCH REPORT

International Application No. **PCT/US92/00764**

I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all) ⁶

According to International Patent Classification (IPC) or to both National Classification and IPC

IPC (5): **G09G 5/00**

U.S. Cl.: **341/20; 340/706, 709, 710**

II. FIELDS SEARCHED

Minimum Documentation Searched ⁷

Classification System

Classification Symbols

U.S.

74/471XY

341/20; 340/706, 709, 710; 200/6A; 273/148B

Documentation Searched other than Minimum Documentation
to the extent that such Documents are included in the Fields Searched ⁸

III. DOCUMENTS CONSIDERED TO BE RELEVANT ⁹

Category ¹	Citation of Document, ¹¹ with indication, where appropriate, of the relevant passages ¹²	Relevant to Claim No. ¹³
X	US, A, 4,913,573 (REITER) 03 April 1990 See figures 3 A and 4.	27,28
X Y	US, A, 4,952,919 (NIPPOLDT) 28 August 1990 See Figures 4 and 5.	1-10,17,18,20 21,29 22-26
Y	US, A, 4,739, 128 (GRISHAM) 19 April 1988 See column 2, lines 50 - column 4 line 10.	1, 11,19
A,P	US, A, 5,021,771 (LACIEMAN) 04 June 1991 See Figure 5.	1-29

¹⁰ Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"Δ" document member of the same patent family

IV. CERTIFICATION

Date of the Actual Completion of the International Search

Date of Mailing of this International Search Report

06 April 1992

11 MAY 1992

International Searching Authority

Signature of Authorized Officer **NGUYEN NGOC-HO**

ISA/US

Michael Horabik

Nguyen Ho Nguyen